

## PART IX

### Supervision and Auditing Work of NSFC

In its work of supervision and auditing in 2007, NSFC upheld Deng Xiaoping's Theory and the important thought of "Three Represents" as the guiding principle, carried out the guidelines set out at CPC Seventeenth National Congress, the Seventh Plenary Session of CPC Discipline Inspection Committee and the Working Meeting of the State Council on Clean Government, fully implemented the scientific outlook on development in an all-round way, stuck to the strategic principles of dealing with both the root causes and symptoms. By taking overall measures, hardworking,

and paying attention to punishment and prevention but focusing on prevention, the Bureau of Discipline Inspection, Supervision and Auditing, focused on the core work of NSFC, strived for development and innovation, worked hard and performed actively its duties of discipline inspection, supervision, audit and monitoring, and played an important role in strengthening clean performance of NSFC's staff, maintaining a clean management team, safeguarding fairness and good reputation of NSFC, strengthening NSFC's work and improving the science funding system.

#### 1 Conducting Education on Clean Management and Improving the Quality of Staff

According to the practical needs for the management of the National Science Fund, NSFC conducted in-depth studies on the important thought of "Three Represents", the report of CPC Seventeenth National Congress, the important speech of President Hu Jintao at the Seventh Plenary Session of the CPC Discipline Inspection Committee and the speech of Premier Wen Jiabao at the Working Meeting of the State Council on Clean Government, implemented and studied the "Rules on punishment for public servants in administrative organs", focused on educating the professional ethic, strengthened the education on integrity, governing for the people and governing by law, so as to raise the political standard of all NSFC staff.

It organized education on professional ethics. By listening to lectures given by Li Shuguang, CAS member and Professor of University of Science and Technology of China, and Song Yushui, Director of Haidian District Court, NSFC staff received profound education, and strengthened their professional awareness of clean government, hard working and being credible and trustworthy, as well as the responsibility of maintaining NSFC's fairness and reputation.

It also organized a series of educational activities on Party's working style and clean government. Through

which, NSFC staff received deep ideological education and education on the Party's rules and government laws, and realized that they should always keep firmly in mind of maintaining good ethics, observing the Party's regulations and laws and maintain a good living style and healthy spirit.

NSFC educated its people using actual cases around us. Through dealings with breaching behaviors, it further emphasized on observing all regulations, promoting hardworking and clean governance and observing all laws and regulations. Through fighting against the money-making behaviors by those offering training classes in the name of NSFC, NSFC safeguarded its reputation through legal means and achieved good results.



## **2 Strengthening anticorruption and conscientiously implementing the work disposed by the CPC Discipline Inspection Committee**

NSFC conscientiously organized studies and implementation of “Several rules on strictly prohibiting seeking improper profits by taking advantage of duty”, conducted legal education, dealt with business corruptions, and checked up on the “five major

problems”. The result shows that NSFC staff has been clean at work, problems pointed out in the “eight forbids” by the CPC central committee do not exist in NSFC, and no actions have been found of breaching NSFC regulations during project evaluation.

## **3 Conscientiously organizing and conducting in-depth studies on supervision work, and improving supervision system building**

In order to implement the decision made at NSFC 2006 Council Meeting and to carry out the “Regulations on the National Natural Science Fund”, the Bureau of Discipline Inspection, Supervision and Auditing and the Supervision Committee, by focusing their work on 3 important topics, namely, the building of clean administration to safeguard the fairness of the National Science Fund, the supervision on the funding of NSFC’s projects and the dealing with misconducts in research,

made in-depth investigations on the supervision of the National Science Fund. On the basis of summarizing past experience, they analyzed the existing problems, learned good experience and practice of domestic and foreign S&T agencies, and proposed some supervision measures for the coming years and policy recommendations for longer time that suit the future development of the Science Fund.

## **4 Advocating scientific spirits and dealing with misconducts in research**

In 2007, the Supervision Committee received 170 letters from scientists, in which 16 challenged the candidates of the National Science Fund for Distinguished Young Scholars. According to the “Methods to deal with misconducts in science funding”, after preliminary check, 88 letters were accepted, 53 were rejected, and

13 were referred to other government departments. For the 88 accepted, NSFC made investigations according to regulated procedures, and after discussions at the extended meeting of the Supervision Committee, dealt with the cases according to relevant regulations.

## **5 Making selective auditing and strengthening the supervision on the expenditures of NSFC funded projects**

In accordance with the requirement of the State Auditing Administration and that of NSFC regulations, the Bureau of Discipline Inspection, Supervision and Auditing carried out sampling auditing on NSFC funded projects in the southwest and northeast regions of China in term of the utilization of the funds. The amount of funding audited totaled nearly 209.50 million yuan, involving 51 institutions and 240 projects. The auditing focused on legal and truthful budgeting, expenditure and management of the funds. Through auditing, the Bureau disseminated the management

regulations of the Science Fund, enhanced the awareness of the home institutions on the supervision of project funds, and improved the management system of research funds. The auditing shows that NSFC’s funds are appropriated accurately and in time, the expenditures are in agreement with the rules, and the spending is reasonable. Meanwhile, it made suggestions on the improvement for issues discovered, which has promoted the financial management of the home institutions and is highly valued by the home institutions.